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11064 U.S. PTO

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PTO/SB/05 (1/98)  
Approved for use through 09/30/00. OM 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-7199-IP US
	First Named Inventor or Application Identifier	Andrew G. Smolenski <i>et al.</i>
	Title	Voice Integration Platform
	Express Mail Label No.	EL803198936US

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D.C. 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. Application: <input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 51 pages) Appendix(es) _____, &amp; _____ (_____ pages) <input checked="" type="checkbox"/> Claims 7 pages <input checked="" type="checkbox"/> Abstract of the Disclosure 1 page</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 6]</p> <p>4. Oath or Declaration <input checked="" type="checkbox"/> unsigned [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of _____ pages of microfiche containing _____ frames on each page in accompanying envelope.</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p>
<b>ACCOMPANYING APPLICATION PARTS</b>		
<p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents) _____ pages</p> <p>9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (combined when there is an _____ with Patent Declaration Assignee) above.)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) &amp; <input type="checkbox"/> _____ Copies of IDS <input checked="" type="checkbox"/> PTO-1449 5 Citations/References</p> <p>12. <input type="checkbox"/> Preliminary Amendment _____ pages</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)</p> <p>14. Small Entity Status <input checked="" type="checkbox"/> Applicants assert entitlement to small entity status for the above-referenced application.</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: <input checked="" type="checkbox"/> Non-Publication Request (1 page) <input type="checkbox"/></p>		
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment: <input checked="" type="checkbox"/> Continuation-in-part <input type="checkbox"/> Divisional of prior application No. <u>09/290,508</u> Filed on <u>April 12, 1999</u>, entitled: <u>Distributed Voice User Interface</u> PRIOR APPLICATION INFORMATION: <u>Examiner Unknown</u> <u>Group Art Unit 2747</u></p>		
<b>18. CORRESPONDENCE ADDRESS</b>		
<input type="checkbox"/> Customer Number or Bar Code Label or <input checked="" type="checkbox"/> Correspondence address below		
Name	Shireen Irani Bacon	
Attorneys for Applicant	Skjerven Morrill MacPherson LLP	
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### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
65	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	45	x	\$18	=	\$810.00
6	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	3	x	\$80	=	\$240.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))					+	\$260.00	=
							BASIC FEE (37 CFR 1.16(a))	= \$ 710.00
							Total of above Calculations	= \$1760.00
							Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	= \$880.00
							TOTAL	= \$880.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

### NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (512) 794-3600 Fax. (512) 794-3601	
Date:	May 14, 2001
Name	Shireen Irani Bacon Reg. No. 40,494
Signature	
Express Mail Label No.	EL803198936US

EXPRESS MAIL LABEL NO:  
EL803198922US

MODIFIED PTO/SB/35 (11-00)

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

Inventors

Andrew G. Smolenski; Steven Markman;  
Pericles Haleftiras; Jon Thomas Layton;  
Lizanne Kaiser; Gregory Kluthe; Michael W.  
Achenbach

Title

Voice Integration Platform

Atty Docket Number

M-7199-1P US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 14, 2001  
Date



Shireen Irani Bacon  
Attorney for Applicants  
Reg. No.: 40,494

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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104150-40055860